

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 05 038 Review of NRC Policy and Actions Regarding Public Release of Information	04/11/2005	03/12/2007	Administratively Closed	Event Inquiry	(b)(7)(C), (b)(7)(E)			
C 05 046 Staff Actions Related to the HEMYC Fire Barrier Material	05/10/2005	01/22/2008	Fully Substantiated	Event Inquiry				
C 05 071 Failed Containment Coatings	08/24/2005	06/07/2007	Partially Substantiated	Event Inquiry				
C 06 004 Project: Proactive Effort to Identify Fraud in NRC Grants	10/04/2005	12/28/2009	Administratively Closed	Project				
C 06 013 Possible Fraud in NRC Drug Testing Program	10/17/2005	08/01/2007	Fully Substantiated	Full Investigation				
C 06 024 Proactive Initiative to Review NRC Contracts and Programs	11/14/2005	09/19/2008	Administratively Closed	Project				
C 06 067 Issuing Metrochek Subsidies in the Name of Departed NRC Employees	03/31/2006	01/23/2007	Fully Substantiated	Full Investigation				
C 06 072 Security of Sensitive NRC Material Located at LPDRs	05/25/2006	01/31/2007	Fully Substantiated	Full Investigation				
C 06 074 Time and Attendance Abuse by NRR Employee	05/31/2006	10/17/2006	Fully Substantiated	Full Investigation				
C 06 081 Inappropriate Solicitation of Employment by an NRC Inspector to a Licensee	07/25/2006	12/20/2006	Unsubstantiated	Full Investigation				
C 06 082 Alleged Theft by OCFO Secretary	07/25/2006	12/21/2006	Fully Substantiated	Full Investigation				
C 06 083 Theft of Wallet from NRC Security Guard Office	07/25/2006	11/30/2006	Administratively Closed	Full Investigation				
C 06 086 Computer Misuse to View Sexually Explicit Material- NRC Contractor at Gude Drive	08/14/2006	11/28/2006	Fully Substantiated	Full Investigation				
C 06 087 Misconduct by NRR Employee Involving Travel and Overtime.	08/16/2006	04/23/2007	Fully Substantiated	Full Investigation				
C 06 088 Sexually Explicit Material Found on Computer	08/16/2006	12/04/2006	Fully Substantiated	Full Investigation				
C 06 090 Falsification of Electronic T&A Records	08/18/2006	05/08/2008	Fully Substantiated	Full Investigation				
C 06 092 Adaquacy of NRC Handling of South Texas Project Nuclear Power Plant Security Concerns Raised by Wackenhut Guards	09/21/2006	04/06/2007	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 06 093 Adequacy of NRC Handling of Security Vulnerabilities Reported by Security Guards at Sequoyah NPP	09/21/2006	12/28/2006	Partially Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 07 001 Fraudulent Citibank Purchase Card Transactions	10/03/2006	10/17/2007	Administratively Closed	Full Investigation				
C 07 002 False Statements on Security Questionnaires	10/12/2006	11/15/2006	Fully Substantiated	Full Investigation				
C 07 003 Inappropriate Regulation by NRC of Clean-up of Radioactive Waste in New Jersey	10/13/2006	02/23/2007	Administratively Closed	Event Inquiry				
C 07 004 Threatening and Harassing Emails Sent from NRC Computer to a DOD Computer	10/19/2006	01/05/2007	Administratively Closed	Full Investigation				
C 07 005 Improper Release of Personally Identifiable Information (PII)	10/24/2006	05/30/2007	Fully Substantiated	Full Investigation				
C 07 006 Fraudulent Charges for Student Housing	10/25/2006	04/26/2007	Fully Substantiated	Full Investigation				
C 07 007 Proactive Effort- Computer Misuse and Intrusions	10/27/2006	11/03/2008	Administratively Closed	Proactive Initiative				
C 07 008 Proactive Effort - Government Credit Card Reviews	10/27/2006	12/30/2008	Administratively Closed	Proactive Initiative				
C 07 009 Project - Overtime Fraud	10/31/2006	08/05/2010	N/A	Proactive Initiative				
C 07 010 Project - Nuclear Proliferation	10/31/2006	08/05/2010	N/A	Proactive Initiative				
C 07 011 Project: Review of Possible False Claims of Small Entity Status by NRC Licensees	11/06/2006	07/23/2008	Administratively Closed	Proactive Initiative				
C 07 012 Project: Identification of Public Corruption within the NRC	11/06/2006	08/22/2007	Unsubstantiated	Proactive Initiative				
C 07 013 Possible Fraud By NRC Employee	11/17/2006	03/13/2008	Administratively Closed	Full Investigation				
C 07 014 Misconduct by Senior NMSS Staff	11/17/2006	12/20/2006	Unsubstantiated	Full Investigation				
C 07 015 Inadequate Handling of Fire Safety Violations at Shearon Harris Nuclear Power Plant	11/17/2006	03/14/2007	Administratively Closed	Full Investigation				
C 07 016 NRC Employee in violation of court order	11/20/2006	03/20/2007	Fully Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 07 017 Change Made to 10 CFR Part 52 Without Following Due Process	11/20/2006	03/14/2007	Unsubstantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 07 018 Project: OIG Regional and Resident Inspector Liaison Program	11/20/2006	09/30/2008	Administratively Closed	Proactive Initiative				
C 07 019 Cracked Software E-mailed by NRC Contractor	11/21/2006	03/30/2007	Fully Substantiated	Full Investigation				
C 07 020 Inadequate Review of 10 CFR Part 70 License Application by NMSS	12/07/2006	08/02/2007	Unsubstantiated	Full Investigation				
C 07 021 Staff Handling of State of Alabama Performance Allegation	12/27/2006	04/06/2007	Unsubstantiated	Full Investigation				
C 07 022 Misuse of Citibank Travel Card by NMSS Employee	01/08/2007	02/16/2007	Partially Substantiated	Full Investigation				
C 07 023 Misuse of Citibank Travel Card by Region II Employee	01/08/2007	03/06/2007	Administratively Closed	Full Investigation				
C 07 024 Special Project NRC Regulatory Oversight	01/11/2007	03/03/2010	N/A	Proactive Initiative				
C 07 025 Inappropriate Communication Between Region II Staff and NRC Licensee (TVA)	01/17/2007	11/26/2007	Fully Substantiated	Full Investigation				
C 07 026 Joint Sensitive Investigation with FBI Baltimore Field Office	01/24/2007	06/27/2007	Administratively Closed	Full Investigation				
C 07 027 Possible Conflict of Interest Involving NRC Employee	01/30/2007	06/28/2007	Unsubstantiated	Full Investigation				
C 07 028 Adequacy of NRC Process for Development of Revised Design Basis Threat (DBT)	02/27/2007	08/30/2007	Unsubstantiated	Event Inquiry				
C 07 029 Fraudulent Citibank Travel Card Transactions	03/06/2007	11/30/2007	Fully Substantiated	Full Investigation				
C 07 030 Unauthorized Disclosure of NRC Internal Use Only Document	03/09/2007	06/19/2007	Unsubstantiated	Full Investigation				
C 07 031 Alleged Abuse of T&A	03/09/2007	11/26/2007	Fully Substantiated	Full Investigation				
C 07 032 Time and Attendance Abuse by FSME Employee	03/12/2007	06/13/2007	Partially Substantiated	Full Investigation				
C 07 033 Submittal of Falsified Application for Handicap Parking Permit	03/14/2007	06/26/2007	Fully Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 07 034 Fraudulent Use of NRC Citibank Purchase Card	03/15/2007	06/12/2007	Administratively Closed	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 07 035 Project: NRC Student Transportation and Lodging Expense Program	03/15/2007	10/22/2007	Administratively Closed	Proactive Initiative				
C 07 036 Possible Compromised Employee Express Account	03/23/2007	03/19/2008	Fully Substantiated	Full Investigation				
C 07 037 OIS Award Ceremony Catered by Aramark	03/27/2007	11/30/2007	Partially Substantiated	Full Investigation				
C 07 038 False Claims of Small Business Entity by NRC Licensee- Mid America Isotopes Inc.	03/27/2007	08/20/2007	Administratively Closed	Full Investigation				
C 07 039 False Claims of Small Business Entity by NRC Licensee- Environics USA	03/27/2007	09/27/2007	Partially Substantiated	Full Investigation				
C 07 040 Proactive Effort in reviewing Status of NRC Employees in the Office of Workers' Compensation Program (OWCP)	03/27/2007	09/26/2008	Unsubstantiated	Proactive Initiative				
C 07 041 Project: Review of NRC Staff's Application of the 10 CFR 2.206 Petition Process	03/27/2007	08/27/2007	Administratively Closed	Proactive Initiative				
C 07 042 Unauthorized Removal of Property from NRC Property Database	03/28/2007	07/10/2008	Fully Substantiated	Full Investigation				
C 07 043 Improper Issuance of Reactor Operator Licenses by NRC Staff	04/02/2007	07/30/2007	Unsubstantiated	Full Investigation				
C 07 044 Unauthorized Release of Internal NRC Document	04/05/2007	10/25/2007	Partially Substantiated	Full Investigation				
C 07 045 NRC Not Requiring Licensee Compliance With Congressional Mandate	04/17/2007	05/31/2007	Unsubstantiated	Full Investigation				
C 07 046 Inappropriate Office of Research Contracts Re: Digital Systems	04/19/2007	10/12/2007	Unsubstantiated	Full Investigation				
C 07 047 Tampering With NRC Building Equipment	05/09/2007	08/14/2007	Unsubstantiated	Full Investigation				
C 07 048 Special Project Yucca Mountain High Level Waste	05/15/2007	09/23/2009	Administratively Closed	Proactive Initiative				
C 07 049 FALSE CLAIM OF U.S. CITIZENSHIP BY NRC APPLICANT	06/06/2007	01/30/2008	Fully Substantiated	Full Investigation				
C 07 050 Possible Fraud in NRC CISSS contract	06/20/2007	11/09/2010	Administratively Closed	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 07 051 Inadvertent Release of OUO Information by NRR	06/27/2007	04/21/2008	Administratively Closed	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 07 052 Conflict of Interest Between RII OI Special Agent and NRC Licensee	06/29/2007	12/05/2007	Partially Substantiated	Full Investigation				
C 07 053 Adequacy of OI Investigation into Falsified TVA Employee Concerns Program Report	06/29/2007	07/21/2008	Unsubstantiated	Full Investigation				
C 07 054 Inappropriate Use of Government Travel Card by an NRR Employee	07/13/2007	11/15/2007	Fully Substantiated	Full Investigation				
C 07 055 Unauthorized Possession of Privacy Act and Official Use Only Information	07/13/2007	11/26/2007	Fully Substantiated	Full Investigation				
C 07 056 Non-Disclosure of Incident at NFS	07/16/2007	09/29/2008	Fully Substantiated	Full Investigation				
C 07 057 Adequacy of Staff's Handling of Generic Safety Issues	07/16/2007	10/16/2008	Administratively Closed	Event Inquiry				
C 07 058 False Claim of Small Business Status by Becton Dickinson Diagnostic Inc.	07/24/2007	08/14/2008	Administratively Closed	Full Investigation				
C 07 059 Misuse of NRC Computer by OIS Contractor	08/07/2007	01/14/2008	Fully Substantiated	Full Investigation				
C 07 060 MIS USE OF AN NRC TRAVEL CREDIT CARD BY REGION II EMPLOYEE	08/07/2007	04/02/2008	Fully Substantiated	Full Investigation				
C 07 061 False Claim of Small Business Entity by Cammenga & Ass.	08/20/2007	10/17/2007	Unsubstantiated	Full Investigation				
C 07 062 Improper Selection for Promotion by By Region IV Manager	08/24/2007	02/12/2008	Unsubstantiated	Full Investigation				
C 07 063 Conflict of Interest by former NRC Commissioner	08/30/2007	03/11/2011	Partially Substantiated	Full Investigation				
C 07 064 NRC Oversight of Steel Liner at Oyster Creek Nuclear Generating Station	09/27/2007	02/06/2008	Unsubstantiated	Full Investigation				
C 07 065 NRC Region I Handling of Concerns Reported by Security Guards at Peach Bottom	09/27/2007	08/22/2008	Fully Substantiated	Event Inquiry				
C 07 066 Questionable NRC Contract to Private Firm To Review Applications to Construct New Power Plants	09/27/2007	09/02/2010	Unsubstantiated	Full Investigation				
C 08 001 Violation of NRC Non-disclosure Agreement by Innovative Technology Solutions	10/03/2007	03/28/2008	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 08 002 Sexual Harrassment by ASLBP Judge	10/03/2007	11/20/2007	Fully Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 08 003 Sexual harassment by an NSIR Manager	10/03/2007	04/02/2008	Unsubstantiated	Full Investigation				
C 08 004 Improper Hiring of Applicant by NSIR Manager	10/03/2007	07/25/2008	Partially Substantiated	Full Investigation				
C 08 005 NRC Response to Possible Compromise of FOF Scenario Information	10/09/2007	02/14/2008	Unsubstantiated	Full Investigation				
C 08 006 NRC Resident Inspector at (b)(7)(C) Accepting Gifts	10/09/2007	02/19/2008	Unsubstantiated	Full Investigation				
C 08 007 Check Scam Involving the NRC	10/12/2007	11/12/2008	Partially Substantiated	Full Investigation				
C 08 008 Joint Effort with FBI - Intrusion into NRC Computer Systems	10/16/2007	04/15/2008	Administratively Closed	Full Investigation				
C 08 009 Terminated NRC License for Distribution of Irradiated Gem Stones	10/19/2007	03/31/2009	Fully Substantiated	Full Investigation				
C 08 010 Conduct Prejudicial to the NRC by an NRR Employee	10/23/2007	01/04/2008	Fully Substantiated	Full Investigation				
C 08 011 NRC Response to Diesel Fuel Spill at NRC Headquarters	11/02/2007	02/21/2008	Unsubstantiated	Full Investigation				
C 08 012 NRC Oversight of Byron Nuclear Plant Essentia Service Water System	11/13/2007	09/30/2010	Partially Substantiated	Event Inquiry				
C 08 013 False Accusations and T&A Abuse by Region IV Employee	11/15/2007	03/05/2008	Administratively Closed	Full Investigation				
C 08 014 Inappropriate Use by NRC of Riera Model of Aircraft Impact for New Reactors	11/27/2007	09/21/2010	Unsubstantiated	Event Inquiry				
C 08 015 NRC Contractor Soliciting Employment From Applicant During Review	11/30/2007	04/09/2008	Unsubstantiated	Full Investigation				
C 08 016 Disagreement between NMSS and NRC licensee regarding handling of approval of license amendment.	12/27/2007	04/10/2008	Unsubstantiated	Full Investigation				
C 08 017 Unexplained Deductions from NRC Payments to Contractor	12/28/2007	02/14/2008	Fully Substantiated	Full Investigation				
C 08 018 NRC Oversight of New Reactors	01/03/2008	03/03/2011	N/A	Proactive Initiative				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 08 019 Funds Misuse& Mischarging in US AID funded NRC Contract	01/04/2008	09/23/2010	Unsubstantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 08 020 Conflict of Interest: NRC Employee Working for NEI	01/11/2008	03/11/2008	Administratively Closed	Full Investigation				
C 08 021 Joint Sensitive Investigation with the FBI	01/15/2008	06/26/2009	Administratively Closed	Full Investigation				
C 08 022 Review of Security Related Allegations in Region II	01/22/2008	07/22/2008	Administratively Closed	Proactive Initiative				
C 08 023 Review of Security Related Allegations in Region III	01/22/2008	07/22/2008	Administratively Closed	Proactive Initiative				
C 08 024 Review of Security Related Allegations in Region IV	01/22/2008	07/11/2008	Administratively Closed	Proactive Initiative				
C 08 025 False Claim of Small Business Entity Status By Target Instruments Inc.	01/22/2008	04/22/2008	Partially Substantiated	Full Investigation				
C 08 026 False Claim of Small Business Entity Status By Lixum Corp.	01/23/2008	05/15/2008	Unsubstantiated	Full Investigation				
C 08 027 Improper Gathering and Dissemination of NRC Employee Personal Information	01/24/2008	06/19/2008	Unsubstantiated	Full Investigation				
C 08 028 Manipulation of Reactor Oversight Process (ROP)	01/28/2008	07/15/2009	Unsubstantiated	Event Inquiry				
C 08 029 Forged NRC Prescription for Medication from a Pharmacy	01/31/2008	03/06/2008	Unsubstantiated	Full Investigation				
C 08 030 Request for Computer Forensics Assistance from the Office of Investigations	02/11/2008	05/14/2008	Administratively Closed	Full Investigation				
C 08 031 Staff Basis and Support for Conclusions Documented in NRC License Renewal Safety Evaluation Reports	02/25/2008	05/02/2008	Partially Substantiated	Event Inquiry				
C 08 032 Misconduct by (b)(7)(C)	03/03/2008	04/09/2008	Fully Substantiated	Full Investigation				
C 08 033 Misuse of Government Citibank Travel Card by NMSS Employee	03/04/2008	04/07/2008	Unsubstantiated	Full Investigation				
C 08 034 Failure to Safeguard Sensitive NRC Allegation Information	03/07/2008	08/27/2009	Partially Substantiated	Full Investigation				
C 08 035 Improper Direction by NRC Staff to CAF During Force on Force Exercise at Sequoyah	03/18/2008	04/10/2008	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 08 036 Region II's Handling of FFD Allegation Regarding (b)(7)(C)	04/15/2008	07/18/2008	Fully Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 08 037 Inappropriate Use of a Government Travel Credit Card and Vehicle by a Region I Employee	04/24/2008	06/18/2008	Partially Substantiated	Full Investigation				
C 08 038 Possible Bid Rigging by vendor while bidding for NRC Contract	05/05/2008	03/31/2009	Administratively Closed	Full Investigation				
C 08 039 Inaccurate or Misleading Statements by NRC Chairman Regarding Peach Bottom Whistleblower	05/07/2008	09/26/2008	Unsubstantiated	Full Investigation				
C 08 040 Concerns with NRC Chairman's Letter Re: Hemyc to Member of Congress	05/20/2008	01/09/2009	Fully Substantiated	Full Investigation				
C 08 041 NRC Oversight of Reactor Coolant Pump Seals at Oconee Nuclear Station	05/27/2008	07/29/2010	Unsubstantiated	Event Inquiry				
C 08 042 Review of Security Related Allegations in Region I	06/24/2008	12/29/2008	Partially Substantiated	Event Inquiry				
C 08 043 Willful Nonpayment of NRC Invoices by OCFO Employee	06/24/2008	06/12/2010	Unsubstantiated	Full Investigation				
C 08 044 Unauthorized Removal of Documents from Commissioners' Offices	07/09/2008	05/29/2009	Administratively Closed	Full Investigation				
C 08 045 Misuse of Citibank Travel Card by NRR Employee	07/14/2008	11/14/2008	Unsubstantiated	Full Investigation				
C 08 046 Unauthorized Release of Privacy-OUO Information	07/15/2008	08/18/2009	Fully Substantiated	Full Investigation				
C 08 047 Child Pornography by NRR Employee - Assistance to Immigration and Customs Enforcement	07/17/2008	02/19/2010	Fully Substantiated	Full Investigation				
C 08 048 NRC Not Enforcing Requirements of the Energy Policy Act of 2005 at Indian Point	07/30/2008	04/19/2010	Unsubstantiated	Event Inquiry				
C 08 049 Time and Attendance Abuse by RES Employee	07/31/2008	09/30/2009	Partially Substantiated	Full Investigation				
C 08 050 Potential Misconduct By NRC ADR Program Contractor	08/06/2008	01/15/2009	Unsubstantiated	Full Investigation				
C 08 051 Questionable Travel Claims by Two NMSS Employees	08/26/2008	04/10/2012	Fully Substantiated	Full Investigation				
C 08 052 OI's Handling of A Fitness for Duty Violation at Nuclear Fuel Services	09/04/2008	08/07/2009	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 09 001 NMSS Management Not Properly Reviewing MOX License Application	10/03/2008	11/18/2008	Unsubstantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 09 002 T&A Abuse by NMSS Employee	10/10/2008	03/24/2009	Partially Substantiated	Full Investigation				
C 09 003 Impersonation of Federal Law Enforcement Officer	10/17/2008	05/04/2009	Fully Substantiated	Full Investigation				
C 09 004 NRC Allows NEI Opportunity to Review and Edit Regulatory Issue Summary Documents	10/21/2008	03/31/2009	Fully Substantiated	Full Investigation				
C 09 005 PROACTIVE INITIATIVE - GOVERNMENT CREDIT CARD REVIEWS	10/31/2008	09/22/2009	Administratively Closed	Proactive Initiative				
C 09 006 PROACTIVE INITIATIVE - OIG AGENT LIAISON PROGRAM	10/31/2008	04/01/2010	N/A	Proactive Initiative				
C 09 007 Proactive Initiative - Computer Misuse and Computer Forensic Support	10/31/2008	09/29/2010	N/A	Proactive Initiative				
C 09 008 Proactive Initiative - NRC Network Intrusions	10/31/2008	11/09/2009	N/A	Proactive Initiative				
C 09 009 Possible Over Charging Hours by Numark Associates Inc.	11/06/2008	09/10/2009	Administratively Closed	Full Investigation				
C 09 010 Improper Personnel Action By DRMA Region IV Manager	11/06/2008	03/16/2009	Administratively Closed	Full Investigation				
C 09 011 NRC Employee Providing False and Misleading Information During an Investigation	12/23/2008	06/26/2009	Fully Substantiated	Full Investigation				
C 09 012 Former NRC Employee False Statement on Form OR-306 Declaration for Federal Employment	01/23/2009	08/27/2009	Fully Substantiated	Full Investigation				
C 09 013 Release of Safeguards Information	01/23/2009	06/26/2009	Unsubstantiated	Full Investigation				
C 09 014 Prejudicial Conduct by an OEDO Manager	01/23/2009	09/24/2009	Partially Substantiated	Full Investigation				
C 09 015 Misuse of Citibank Travel Credit Card	02/05/2009	06/26/2009	Fully Substantiated	Full Investigation				
C 09 016 Alleged Preferential Treatment and Inappropriate Behavior By Senior FSME Manager	02/05/2009	07/08/2009	Unsubstantiated	Full Investigation				
C 09 017 Improper Allegation Referral to Licensee	02/05/2009	03/10/2010	Partially Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 09 018 Vulnerability of Enrichment Barriers to Theft	02/06/2009	03/18/2010	Administratively Closed	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 09 019 Possible Retaliation for Whistleblowing	02/06/2009	01/26/2010	Unsubstantiated	Full Investigation				
C 09 020 Alleged Time and Attendance Abuse By NRC Staff	02/20/2009	08/05/2010	Unsubstantiated	Full Investigation				
C 09 021 NRC Provided Prior Notice Regarding VC Summer EIS Scoping Meeting Dates to Utility But Did Not Similar Notice to the Public	02/20/2009	04/01/2009	Fully Substantiated	Preliminary Investigation				
C 09 022 Failure to Investigate Violations of 10 C.F.R 50.7	02/26/2009	09/08/2010	Unsubstantiated	Full Investigation				
C 09 023 Procurement Impropriety by NRC Manager	03/23/2009	09/28/2009	Partially Substantiated	Full Investigation				
C 09 024 Inappropriate Conduct By NRC Region II Staff To Obtain Badge Access To A Federal Building	03/26/2009	12/30/2009	Fully Substantiated	Full Investigation				
C 09 025 Project on Medical Use of Radioactive Materials	04/02/2009	05/18/2011	N/A	Proactive Initiative				
C 09 026 Misappropriation of WMATA SmartTrip Funds	04/06/2009	05/05/2010	Unsubstantiated	Full Investigation				
C 09 027 OCFO Workplace Nepotism	04/14/2009	09/30/2009	Unsubstantiated	Full Investigation				
C 09 028 Security Officers Sleeping While On Duty At NRC Headquarters Building	04/14/2009	06/26/2009	Unsubstantiated	Full Investigation				
C 09 029 Nonpayment for Work Performed on NRC Contract and Questionable Contract Charges	04/16/2009	10/07/2009	Unsubstantiated	Full Investigation				
C 09 030 Misuse of Government Computer	04/17/2009	12/30/2009	Fully Substantiated	Full Investigation				
C 09 031 Patient's Right Advocate	04/17/2009	12/09/2009	Unsubstantiated	Full Investigation				
C 09 032 Possible Fraud by NRC Employee In Connection With Change of Duty Station Voucher	05/01/2009	05/07/2010	Fully Substantiated	Full Investigation				
C 09 033 Documents Related to Development of SECY-08-0147	05/06/2009	08/26/2010	Administratively Closed	Full Investigation				
C 09 034 Possible Fraud by NRC Contractor - (b)(7)(C) University of Florida	05/07/2009	04/03/2013	Fully Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 09 035 NRC Failure to Review a H&I Complaint Following Allegor's Acceptance of Settlement Agreement	05/29/2009	03/12/2010	Unsubstantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 09 036 Request for Computer Forensic Assistance from NRC OI	06/02/2009	09/08/2009	Administratively Closed	Full Investigation				
C 09 037 Misuse of NRC Citibank Travel Card at Region III	06/12/2009	01/15/2010	Fully Substantiated	Full Investigation				
C 09 038 Acceptance of Travel Expenses From UAE	07/01/2009	09/30/2010	Fully Substantiated	Full Investigation				
C 09 039 NRC Regulatory Oversight of the Pennsylvania Veteran Affairs Medical Center (PVAMC) Brachytherapy Program	07/09/2009	01/09/2014	Unsubstantiated	Event Inquiry				
C 09 040 Misuse of NRC Citibank Travel Card	07/13/2009	09/09/2009	Fully Substantiated	Full Investigation				
C 09 041 SGI Information posted on a Yahoo Newsgroup Posting	07/28/2009	11/30/2009	Fully Substantiated	Full Investigation				
C 09 042 Failure to Enforce Drug Test Employment Requirement and Release of PII By Office of Administration Manager	07/28/2009	01/03/2011	Unsubstantiated	Full Investigation				
C 09 043 ADAMS CITRIX Intrusion	07/29/2009	12/28/2009	Administratively Closed	Full Investigation				
C 09 044 Spear Phishing Attack on NRC Users	08/05/2009	03/11/2010	Administratively Closed	Full Investigation				
C 09 045 Misuse of NRC Citibank Travel Credit Card	08/20/2009	09/30/2009	Fully Substantiated	Full Investigation				
C 09 046 Possible Outside Employment Conflict and Timeliness Issues by NRC R II Staff	08/20/2009	07/21/2010	Fully Substantiated	Full Investigation				
C 09 047 Compromise of Citibank Government Travel Credit Card	08/31/2009	12/16/2010	Administratively Closed	Full Investigation				
C 09 048 Allegation Improper Use Voluntary Initiative GSI 189	09/10/2009	09/03/2010	Unsubstantiated	Full Investigation				
C 09 049 Multiple Allegations Regarding NRC Oversight of Nuclear Fuel Services (NFS)	09/10/2009	03/10/2010	Administratively Closed	Full Investigation				
C 09 050 NRC Fails to Appropriately Apply 10 CFR50.54 (q)	09/10/2009	03/11/2010	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 09 051 Misuse of Government Computer	09/29/2009	04/14/2010	Fully Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 09 052 Fear of Retaliation by Region II Manager	09/29/2009	08/03/2010	Unsubstantiated	Full Investigation				
C 09 053 Improper Set Up of FileNet System	04/27/2010	04/18/2011	Unsubstantiated	Full Investigation				
C 10 001 Unauthorized Wireless Signal	10/14/2009	05/05/2010	Unsubstantiated	Full Investigation				
C 10 002 Alleged Abuse of Foreign Travel by Former Chairman	10/22/2009	03/03/2011	Unsubstantiated	Full Investigation				
C 10 003 Improper Promotion Process by Region IV Manager	10/27/2009	09/02/2010	Unsubstantiated	Full Investigation				
C 10 004 Misuse of Citibank Travel Card	11/03/2009	07/29/2010	Fully Substantiated	Full Investigation				
C 10 005 Misuse of Government Computer	11/17/2009	06/01/2010	Fully Substantiated	Full Investigation				
C 10 006 Project: Misuse of Government Travel and Purchase Cards	11/18/2009	09/28/2012	N/A	Proactive Initiative				
C 10 007 Money Wasted in Procurement of NRC Audio and Visual Equipment	11/19/2009	04/12/2011	Administratively Closed	Full Investigation				
C 10 008 Employee Misconduct	12/02/2009	07/22/2010	Administratively Closed	Full Investigation				
C 10 009 Unauthorized Access to NRC Network Drives by Office of Commission Employees	12/11/2009	09/28/2010	Unsubstantiated	Full Investigation				
C 10 010 NRC Knowingly Allows Licensee to Submit False Statements Regarding Decommissioning Funds	01/08/2010	01/05/2011	Unsubstantiated	Full Investigation				
C 10 011 Nuclear Education Online Falsification of Authorized User Certification	01/22/2010	09/28/2011	Unsubstantiated	Full Investigation				
C 10 012 Special Project NRC Regulatory Oversight	01/26/2010	09/25/2013	N/A	Proactive Initiative				
C 10 013 Monitoring of Possible Criminal Violations by NSIR Employee	02/04/2010	08/12/2010	Partially Substantiated	Full Investigation				
C 10 014 Potential Misuse of Government Resources To Conduct Personal Relationship By Region I Manager	02/18/2010	06/15/2010	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 10 015 Potential Security Violation	02/18/2010	08/24/2010	Unsubstantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 10 016 Misuse of Citibank Government Credit Card	03/01/2010	07/30/2010	Fully Substantiated	Full Investigation				
C 10 017 Counter Intelligence Development Project	03/15/2010	09/22/2016	N/A	Proactive Initiative				
C 10 018 NRC Network Intrusions Project	03/24/2010	12/30/2013	N/A	Proactive Initiative				
C 10 019 Compromise of Government Travel Credit Cards Within Office of Commissioner	03/31/2010	09/30/2010	Fully Substantiated	Full Investigation				
C 10 020 Proactive Counter Intelligence During 2010 RIC Conference	04/09/2010	03/03/2011	Fully Substantiated	Full Investigation				
C 10 021 Possible Compromise of NRC Blackberry Phones	04/09/2010	02/04/2011	Unsubstantiated	Full Investigation				
C 10 022 Mortgage Fraud by NRR (b)(7)(C)	04/22/2010	05/25/2011	Partially Substantiated	Full Investigation				
C 10 023 OIS Employees Allegedly Violating NRC Regulations	04/22/2010	07/12/2010	Unsubstantiated	Full Investigation				
C 10 024 OGC Staff Provided Conflicting Statements On NRC Policy Regarding Release of Treated Patients to Hotels	04/22/2010	03/17/2011	Partially Substantiated	Full Investigation				
C 10 025 Incomplete Information Provided by Constellation Energy Nuclear Group to NRC	04/22/2010	08/26/2010	Administratively Closed	Full Investigation				
C 10 026 Improper Billing on SLES Contract & Contract Mismanagement	04/27/2010	02/03/2011	Unsubstantiated	Full Investigation				
C 10 027 Theft of Personal Credit Card Belonging to An NRC Employee	04/30/2010	07/29/2010	Administratively Closed	Full Investigation				
C 10 028 Misuse of Government Travel Credit Card	04/30/2010	09/28/2010	Fully Substantiated	Full Investigation				
C 10 029 Alleged Violation of Ethics Requirements by Former NRC (b)(7)(C)	04/30/2010	08/11/2010	Unsubstantiated	Full Investigation				
C 10 030 NRC Oversight of Requirements Pertaining to Release of Patients Treated with Medical Radioisotopes	04/30/2010	09/15/2011	Unsubstantiated	Event Inquiry				
C 10 031 NRC Staff Oversight of Medical Event Reporting Requirements	04/30/2010	05/18/2011	Administratively Closed	Event Inquiry				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 10 032 NRC Staff Provided False and Misleading Statements in Official NRC Correspondence	05/14/2010	07/15/2011	Unsubstantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 10 033 NFPA 805 Concerns at Shearon Harris	05/14/2010	03/02/2011	Administratively Closed	Full Investigation				
C 10 034 Computer Forensics Support OI Region II	05/20/2010	12/22/2010	Administratively Closed	Full Investigation				
C 10 035 Contract Fraud by Pacific Northwest National Laboratory	05/25/2010	07/21/2011	Unsubstantiated	Full Investigation				
C 10 036 NRC Region II Office of Investigation Mishandling of H&I Complaint against Turkey Point Power Plant	06/03/2010	05/18/2011	Administratively Closed	Full Investigation				
C 10 037 Failure to File Federal Income Tax Returns	06/11/2010	12/22/2010	Fully Substantiated	Full Investigation				
C 10 038 Inappropriate Interference by the Chairman	06/22/2010	09/02/2010	Unsubstantiated	Full Investigation				
C 10 039 Potential Conflict of Interest Involving Former NRC Commissioner and Pinnacle West Capital Corporation	06/23/2010	09/28/2010	Unsubstantiated	Full Investigation				
C 10 041 Alleged Bribery Involving NRC Official in Connection with Brown's Ferry	07/15/2010	09/02/2010	Unsubstantiated	Full Investigation				
C 10 042 NRC Region II Employee Promoting Personal Private Company During American Nuclear Society Conference	07/21/2010	09/30/2010	Unsubstantiated	Full Investigation				
C 10 043 Supply Chain Vulnerabilities (part 21 Initiative with NCIX)	08/26/2010	06/12/2013	N/A	Proactive Initiative				
C 10 044 Region III Manager Backdating Documents to Meet an Internal Metric	08/26/2010	04/11/2011	Unsubstantiated	Full Investigation				
C 10 045 Possible Cost Mischarging by NRC Contractor: Lockheed Martin	09/22/2010	01/11/2012	Unsubstantiated	Full Investigation				
C 11 001 Misuse of Government Travel Credit Card	10/01/2010	03/30/2012	Fully Substantiated	Full Investigation				
C 11 002 Proactive Initiative: Source Development to Identify Fraud Waste and Abuse at NRC	10/04/2010	04/21/2015	N/A	Proactive Initiative				
C 11 003 Possible SmarTrip Card Fraud	10/04/2010	05/24/2011	Fully Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 11 004 Abuse of Transit Subsidy Benefits Program	10/04/2010	01/19/2011	Fully Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 11 005 Allegation Concerning NRC Chairman's Unilateral Actions to Terminate NRC's Review of DOE Yucca Mountain License Application	10/15/2010	06/06/2011	Partially Substantiated	Full Investigation				
C 11 006 NRC Grant Fraud By City College of New York Professor	11/08/2010	11/28/2011	Unsubstantiated	Full Investigation				
C 11 007 Harrassment of NRC Employee by Contractor	11/08/2010	03/07/2011	Fully Substantiated	Full Investigation				
C 11 008 Managers in RII Circumventing Veterans' Preference Rules in Hiring	11/15/2010	05/18/2011	Unsubstantiated	Full Investigation				
C 11 009 Inadvertent Release of FOF Inspection Report	11/23/2010	04/01/2011	Fully Substantiated	Full Investigation				
C 11 010 Time and Attendance Abuse by Region III DRMA Employee	11/29/2010	10/13/2011	Partially Substantiated	Full Investigation				
C 11 011 Violation of Copyright Law by NRC Employee and Inappropriate Denial of FOIA Request Related to the Copyrighted Materials	12/02/2010	07/13/2011	Unsubstantiated	Full Investigation				
C 11 012 Misuse of Government IT Resources	12/08/2010	03/21/2011	Unsubstantiated	Full Investigation				
C 11 013 Hostile Work Environment	12/20/2010	05/17/2011	N/A	Preliminary Investigation				
C 11 014 NRC Managers Involved In Quid Pro Quo Agreement To Hire Wife in Exchange For Position	12/21/2010	07/11/2011	N/A	Preliminary Investigation				
C 11 015 Flawed License Renewal Process by (b)(7)(C) (b)(7)(C)	12/21/2010	07/21/2011	Unsubstantiated	Full Investigation				
C 11 016 Improper Access Granted to NRC Building Facility	12/22/2010	07/25/2011	Fully Substantiated	Full Investigation				
C 11 017 Potential Financial Fraud by NRC Employee	01/04/2011	07/13/2011	Unsubstantiated	Full Investigation				
C 11 018 Targeted Spear Phishing Against NRC Employees	01/04/2011	11/29/2011	Administratively Closed	Full Investigation				
C 11 019 Mismanagement of Performance Ratings and Awards Processes by OGC Management	01/05/2011	10/13/2011	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 11 020 Release of Nonpublic Information by Defense Nuclear Facilities Safety Board Member	01/21/2011	07/28/2011	Fully Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 11 021 Misuse of Government Travel Credit Card	01/31/2011	06/01/2011	Fully Substantiated	Full Investigation				
C 11 022 Alleged Grant Fraud at Southern Polytechnic University	01/31/2011	05/29/2016	Unsubstantiated	Full Investigation				
C 11 023 Concerns Regarding Region IV Review of Inadvertent Shutdown of Callaway Nuclear Power Plant Reactor	02/09/2011	06/27/2011	Fully Substantiated	Full Investigation				
C 11 024 Misuse of Government Computer	02/28/2011	04/29/2011	Fully Substantiated	Full Investigation				
C 11 025 Failure to Document Time and Attendance Leave Status	03/01/2011	12/15/2011	Unsubstantiated	Full Investigation				
C 11 026 Improper Handeling of PII by iLearn Contractor	03/03/2011	11/07/2011	Fully Substantiated	Full Investigation				
C 11 027 Disposition of OI Investigative Cases by the Office of Enforcement	03/07/2011	03/02/2012	N/A	Proactive Initiative				
C 11 028 Region IV Review of Questionable Release from Duty by NRC Licensee	03/08/2011	10/14/2011	Unsubstantiated	Full Investigation				
C 11 029 Missing Shipped NRC Computer Equipment	03/15/2011	09/30/2013	Fully Substantiated	Full Investigation				
C 11 030 Concerns Regarding Financial Information Provided by NRC Staff to Argone National Lab	03/15/2011	09/28/2011	Unsubstantiated	Full Investigation				
C 11 031 Misuse of Government Position and Inappropriate Conduct by RES Employee	03/18/2011	08/15/2012	Unsubstantiated	Full Investigation				
C 11 032 Potential Conflict of Interest Involving NRC Grant Fraud	03/18/2011	05/31/2012	Unsubstantiated	Full Investigation				
C 11 033 Individual Impersonating an NRC inspector To Obtain Material Licensee PII	03/22/2011	05/13/2011	N/A	Preliminary Investigation				
C 11 034 Misuse of Government Travel Credit Card	03/22/2011	08/31/2011	Fully Substantiated	Full Investigation				
C 11 035 Contract Procurement Fraud Involving an NRC Contractor	03/23/2011	07/17/2012	Unsubstantiated	Full Investigation				
C 11 036 Misuse of Citibank Government Travel Card	03/31/2011	11/28/2011	Fully Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 11 037 Potential Improper Release of NRC Assessment and Recommendations Pertaining to the Fukushima Daiichi Units	04/12/2011	05/18/2011	N/A	Preliminary Investigation	(b)(7)(C), (b)(7)(E)			
C 11 038 Proactive Initiative: Abuse of NRC Transit Subsidy Benefits Program	04/29/2011	08/20/2013	N/A	Proactive Initiative				
C 11 039 Misuse of Citibank Government Travel Card by Region III Employee	05/10/2011	10/04/2011	Fully Substantiated	Full Investigation				
C 11 040 Targeted Spear Phishing Against NRC Employees	05/17/2011	01/17/2012	Administratively Closed	Full Investigation				
C 11 041 Malicious E-mail via Gmail.com to NRC Employees	05/17/2011	01/17/2012	Partially Substantiated	Full Investigation				
C 11 042 Investigation of Foreign Assignee Security Process	05/17/2011	08/27/2013	Partially Substantiated	Full Investigation				
C 11 043 Proactive Initiative - Computer Misuse and Computer Forensic Support	05/25/2011	09/25/2012	N/A	Proactive Initiative				
C 11 044 Potential Region IV Manager Misconduct Involving Need to Know Investigative Information Pertaining to FBI Investigation	06/02/2011	02/03/2012	Unsubstantiated	Full Investigation				
C 11 045 Abuse of Time and Attendance and Government Resources	06/16/2011	05/18/2012	Unsubstantiated	Full Investigation				
C 11 046 Potential Ethics Violation Involving Outside Employment by RES Employee	06/20/2011	03/05/2012	Partially Substantiated	Full Investigation				
C 11 047 Release of Predecisional Information Regarding Commission Notation Vote	06/30/2011	02/21/2012	Administratively Closed	Full Investigation				
C 11 048 Logon Credential Harvesting Using Google Spreadsheets	07/05/2011	04/17/2013	Partially Substantiated	Full Investigation				
C 11 049 OI Region I Request for Assistance (b)(7)(E)	07/05/2011	07/12/2011	N/A	Preliminary Investigation				
C 11 050 Alleged Violation of the Americans with Disabilities Act	07/05/2011	04/02/2012	Unsubstantiated	Full Investigation				
C 11 051 NRC Employees Impersonating State Employees for Marriot Benefits	07/05/2011	08/25/2011	N/A	Preliminary Investigation				
C 11 052 Harrassing E-mail Sent to NRC Chairman's Office	07/11/2011	01/17/2012	Fully Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 11 053 NRC Senior Management Official Impact on ACRS' Ability to Question Staff's 50-Mile Evacuation Recommendation Regarding Japan	07/11/2011	07/20/2011	N/A	Preliminary Investigation	(b) (7) (C), (b) (7) (E)			
C 11 054 OI Region 4 Support - Guards sleeping while on duty	07/11/2011	09/22/2011	N/A	Preliminary Investigation				
C 11 055 Possible Violations of the NRC's Internal Commission Procedures and Reorganization Plan No.1 of 1980 by Chairman Jaczko	07/18/2011	06/26/2012	Partially Substantiated	Full Investigation				
C 11 056 Lack of Objectivity Regarding Vallecitos Nuclear Center By NRC Region IV Inspector	07/20/2011	10/14/2011	Unsubstantiated	Full Investigation				
C 11 057 Questionable Procedures by NRC Region I Employee at Susquehanna Steam Electric Station	08/08/2011	09/28/2011	Unsubstantiated	Full Investigation				
C 11 058 Alleged Time and Attendance Abuse by NRC Employee	08/22/2011	06/19/2012	Unsubstantiated	Full Investigation				
C 11 059 Possible Contract Fraud By NRC Contractor - MAR Inc	08/24/2011	05/16/2012	Unsubstantiated	Full Investigation				
C 11 060 Questionable Misuse of Government Time & Equipment	08/25/2011	12/22/2011	Unsubstantiated	Full Investigation				
C 11 061 Time and Attendance Abuse and Inappropriate Relationships with Contractor Personnel by NRC Employee	08/31/2011	04/05/2012	Unsubstantiated	Full Investigation				
C 11 062 MisUse of Government Computer to Stream Pornography Videos by NRC Contractor Employee	09/02/2011	01/18/2012	Fully Substantiated	Full Investigation				
C 11 063 Undocumented Overtime and Time and Attendence Issues at CSO	09/12/2011	12/03/2012	Partially Substantiated	Full Investigation				
C 11 064 Harrassment and Intimidation of NRC Employee	09/16/2011	03/05/2012	Unsubstantiated	Full Investigation				
C 11 065 Misuse of Transit Subsidy Benefits Program	09/20/2011	06/18/2012	Fully Substantiated	Full Investigation				
C 11 066 Request for Assistance From EPA CID & DOJ	09/28/2011	02/13/2012	Administratively Closed	Full Investigation				
C 12 001 Dismissal of Three Wackenhut Employees and Failure to Report Matter to OIG	10/03/2011	02/06/2012	Unsubstantiated	Full Investigation				
C 12 003 Possible Violation of Post-employment Statute by Former NRC Managers	10/27/2011	04/04/2012	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 12 004 Request for Assistance	11/01/2011	02/01/2012	Fully Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 12 005 NRC Chairman Direction to NRC Staff Regarding ACRS Review of the Japan Fukushima Daiichi Plants Incident	11/07/2011	06/19/2012	Partially Substantiated	Full Investigation				
C 12 006 Conducting A Private Business at NRC Workplace During Official Duty Hours	11/10/2011	07/24/2012	Fully Substantiated	Full Investigation				
C 12 008 Proactive Initiative: Violations of Public Trust	11/10/2011	03/07/2014	N/A	Proactive Initiative				
C 12 009 Misuse of Government Time by OPA Employee	11/22/2011	12/18/2013	Fully Substantiated	Full Investigation				
C 12 010 Potential Violation of 10CFR2.206	11/22/2011	01/19/2012	Fully Substantiated	Full Investigation				
C 12 011 Questionable Conduct of an NRC Region I Employee	12/01/2011	02/27/2012	N/A	Preliminary Investigation				
C 12 012 Misuse of Government Computer to View Pornographic Material	12/01/2011	09/28/2012	Fully Substantiated	Full Investigation				
C 12 013 Alleged Inappropriate Images of a Sexual Nature on Government Issued Blackberry	12/09/2011	01/13/2012	N/A	Preliminary Investigation				
C 12 014 Former Office of Investigation (b)(7)(C) Falsifying Time and Attendance Information.	12/19/2011	07/19/2012	Partially Substantiated	Full Investigation				
C 12 015 Misuse of Transit Subsidy Benefit Program by (NRC) Employee	12/19/2011	10/01/2012	Fully Substantiated	Full Investigation				
C 12 016 Possible Violation of Post Employment Restrictions by NRR Employee	01/12/2012	11/21/2014	Fully Substantiated	Full Investigation				
C 12 017 Spear Phishing E-Mail with Malicious Link Sent to NRC Employees (CAT3)	01/20/2012	09/20/2012	Administratively Closed	Full Investigation				
C 12 018 Possible Violations of Title 5 CFR by NRC's General Counsel	01/23/2012	08/09/2012	Administratively Closed	Full Investigation				
C 12 019 Alleged Interference by NRC Senior Project Manager in Issuing Region II Inspection Findings	01/26/2012	01/13/2014	Unsubstantiated	Full Investigation				
C 12 020 Access to Former OIG Employee Network Drive by Non-OIG Personnel	01/31/2012	07/26/2012	Fully Substantiated	Full Investigation				
C 12 021 Misuse of Government Travel Card by NRO (b)(7)(C)	02/03/2012	07/27/2012	Fully Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 12 022 Compromise of NRC Employee's Personal E-mail Account and Affected NRC Employees' Government E-mail Accounts	02/03/2012	09/28/2012	Administratively Closed	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 12 023 Misuse of Email System by NRC Employee	02/14/2012	09/28/2012	Fully Substantiated	Full Investigation				
C 12 024 Misuse of Government Computer by Office of Administration Employee	02/14/2012	05/15/2013	Fully Substantiated	Full Investigation				
C 12 025 Electronic Threat Received by Region III Employee	02/14/2012	09/20/2012	Administratively Closed	Full Investigation				
C 12 027 Misuse of Government Computer by HR Employee	03/13/2012	07/27/2012	Fully Substantiated	Full Investigation				
C 12 028 Misuse of Government Computer to View Pornographic Images	03/15/2012	02/15/2013	Fully Substantiated	Full Investigation				
C 12 029 Potential Abuse of Authority by NRO Manager	03/22/2012	10/12/2012	Unsubstantiated	Full Investigation				
C 12 030 Possible Hacking Attempt of NRC.gov Web site By Member of the Public	03/26/2012	05/13/2014	Fully Substantiated	Full Investigation				
C 12 031 Potential Mischaracterization of CDPHE Positions by NRC	03/27/2012	12/13/2012	Partially Substantiated	Full Investigation				
C 12 032 Fraud Committed by NRC Contractor - Security Assistance Corporation (SAC)	04/06/2012	05/07/2015	Fully Substantiated	Full Investigation				
C 12 033 NRC Contractor Misconduct	04/11/2012	10/23/2014	Unsubstantiated	Full Investigation				
C 12 034 NRCs Failure to Enforce CFRs	04/11/2012	09/07/2012	Unsubstantiated	Full Investigation				
C 12 035 Malicious Email Bearing the Name of the NRC OIG	04/24/2012	11/12/2012	Administratively Closed	Full Investigation				
C 12 036 Contract Irregularities Associated with Dell Services	05/03/2012	09/30/2013	Unsubstantiated	Full Investigation				
C 12 038 Region IV's Potential Violation of the No Fear Act	05/09/2012	04/15/2013	Partially Substantiated	Full Investigation				
C 12 039 Questionable Use of Travel Funds by Senior Regional Manager	05/09/2012	08/09/2012	N/A	Preliminary Investigation				
C 12 040 Misuse of Government Citibank Travel Credit Card by NRO Employee	05/11/2012	09/09/2013	Fully Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 12 041 Alleged Harrassment and Retaliation By ADM (b)(7)(C)	05/11/2012	01/31/2013	Unsubstantiated	Full Investigation	(b)(7)(C), (b)(7)(E)			
C 12 042 Alleged Misconduct By OI Manager	05/16/2012	07/11/2012	Unsubstantiated	Full Investigation				
C 12 043 Concerns Regarding Region III Staff Handling of Issues Pertaining to Vallecitos Nuclear Center and Banda Group International	05/16/2012	08/15/2012	Partially Substantiated	Full Investigation				
C 12 044 Possible Time and Attendance Abuse	05/16/2012	01/29/2014	Unsubstantiated	Full Investigation				
C 12 045 Alleged Harrassment By OIS Manager	05/16/2012	07/31/2013	Unsubstantiated	Full Investigation				
C 12 046 ACRS Member Resigns Position Due to Hostile Work Environment	05/17/2012	04/05/2013	Unsubstantiated	Full Investigation				
C 12 047 NRC OI Investigation Did Not Address Concerns Raised at San Onofre Nuclear Station (SONGS)	05/17/2012	10/12/2012	Unsubstantiated	Full Investigation				
C 12 048 Falsification of Time and Attendance Records	05/17/2012	11/27/2013	Unsubstantiated	Full Investigation				
C 12 049 Misuse of Government Equipment and Resources	05/25/2012	02/20/2013	Unsubstantiated	Full Investigation				
C 12 050 Questionable Reassignment Practices of NRC Staff by Region III	05/30/2012	07/26/2012	N/A	Preliminary Investigation				
C 12 051 Potential Abuse of Telework and Travel Procedures By OCFO Employees	06/12/2012	11/19/2013	Partially Substantiated	Full Investigation				
C 12 052 Concerns Regarding the NRC "Open Door Policy" and the "DPO" Process	06/12/2012	02/14/2013	Unsubstantiated	Full Investigation				
C 12 053 Pinhole Coolant Leak at Davis-Besse	06/15/2012	07/19/2013	Unsubstantiated	Full Investigation				
C 12 054 Concerns Regarding Region II Handling of Allegation	06/18/2012	02/19/2013	Unsubstantiated	Full Investigation				
C 12 055 NRC Region IV Mishandling of Concerns Regarding SONGS	06/18/2012	02/20/2013	Unsubstantiated	Full Investigation				
C 12 056 Potential Lack of Oversight of Water Leakage and Safety Culture at Palisades Nuclear Power Plant by Region III	06/19/2012	03/30/2016	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 12 057 Misuse of Government Computer System To Engage in Sexually Explicit Chat Conversations	06/27/2012	04/24/2013	Fully Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 12 058 Misuse of a Government Travel Card by an NRO Employee	06/27/2012	07/31/2014	Fully Substantiated	Full Investigation				
C 12 059 NRC Oversight of Decommissioning Activities at the Shallow Land Disposal Area Consistent with USACE MOU	07/03/2012	03/06/2014	Fully Substantiated	Event Inquiry				
C 12 060 Alleged Chilled Work Environment and Retaliation by NRR Management Due to Technical Disagreements Pertaining to TVA Plants	07/03/2012	12/30/2013	Unsubstantiated	Full Investigation				
C 12 061 Pertinent OGC Analyses Allegedly Withheld from Commission	07/12/2012	03/07/2013	Unsubstantiated	Full Investigation				
C 12 062 Alleged Intimidation of OI (b)(7)(C) by NRC Commissioner	07/16/2012	10/31/2012	Unsubstantiated	Full Investigation				
C 12 063 Possible Retaliation by an NRO Management For Raising Safety Concern	07/18/2012	02/20/2013	Unsubstantiated	Full Investigation				
C 12 064 NRC Region I Handling of Alleged Radioactive Spill at Limerick Power Plant Limerick PA	07/20/2012	01/24/2013	Unsubstantiated	Full Investigation				
C 12 065 Questionable Hiring Practices Based on NRC An OIS Vacancy Announcement	07/20/2012	07/28/2015	Unsubstantiated	Full Investigation				
C 12 067 Request for Assistance From NRC (b)(7)(C)	07/27/2012	05/16/2013	Administratively Closed	Full Investigation				
C 12 068 Potential Retaliation and Harrassment by an NSIR (b)(7)(C)	07/27/2012	07/28/2015	Partially Substantiated	Full Investigation				
C 12 069 Questionable WAH Status and Time and Attendance Reporting	08/02/2012	10/02/2012	N/A	Preliminary Investigation				
C 12 070 Misconduct By Senior NRC Manager	08/03/2012	12/27/2013	Unsubstantiated	Full Investigation				
C 12 071 Minority Contractor Alleged NRC In Violation of 10 CFR	08/06/2012	06/12/2013	Unsubstantiated	Full Investigation				
C 12 072 Mismanagement of OIS Contract	08/08/2012	11/19/2015	Partially Substantiated	Full Investigation				
C 12 073 Inadequate Review of Safety Concern by NRC Region II	08/08/2012	09/19/2012	N/A	Preliminary Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 12 074 Misuse of Government Computer by Region II Employee	08/09/2012	02/15/2013	Fully Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 12 075 Questions Pertaining to NRC Region II's Handling of Shearon Harris Allegations	08/17/2012	01/30/2013	Administratively Closed	Full Investigation				
C 12 076 Abuse of Power by an NRC (b)(7)(C)	08/21/2012	01/07/2013	Unsubstantiated	Full Investigation				
C 12 077 Region II (b)(7)(C) Failure to Followup on Catawba Nuclear Station Allegation	09/07/2012	05/15/2013	Unsubstantiated	Full Investigation				
C 12 078 Alteration of iLearn Training Records By NRC Employee	09/12/2012	09/19/2013	Fully Substantiated	Full Investigation				
C 12 079 Misuse of FOIA Exemption Rules By NRC	09/25/2012	12/30/2013	Unsubstantiated	Full Investigation				
C 12 080 Concerns Regarding Adequacy of Region IV Review of the 2003 Forced Shutdown at Callaway Plant.	09/28/2012	03/25/2016	Unsubstantiated	Full Investigation				
C 13 001 Release of NRC Security Related Documents by RES Employee	10/10/2012	09/11/2013	Partially Substantiated	Full Investigation				
C 13 002 Proactive Initiative - Computer Misuse and Computer Forensic Support	10/12/2012	12/18/2015	Administratively Closed	Proactive Initiative				
C 13 003 NRC Handling of Contractor Award Process For Region I Contract	10/23/2012	06/11/2013	Unsubstantiated	Full Investigation				
C 13 004 Fraudulent Time and Attendance Reporting By NRC Employee	10/26/2012	02/21/2013	Fully Substantiated	Full Investigation				
C 13 005 NRC Staff's Handling of Jocassee Dam and Oconee Nuclear Station	10/26/2012	12/24/2013	Unsubstantiated	Full Investigation				
C 13 006 NRC RIV Handling of Replacement of Steam Generators at San Onofre Nuclear Generating Station Under the 10 CFR 50.59 Process	10/31/2012	10/02/2014	Partially Substantiated	Full Investigation				
C 13 007 Proactive Initiative To Identify Potential NRC Employee and Contractor Fraud	11/09/2012	06/12/2016	N/A	Proactive Initiative				
C 13 008 Hacking of NRC Contractor Computer System By Region IV Employee	11/19/2012	07/23/2013	Partially Substantiated	Full Investigation				
C 13 009 Abuse of Authority by NRC Management	11/29/2012	01/18/2014	Unsubstantiated	Full Investigation				
C 13 010 Inappropriate Relationship Between Region III Supervisor and Employee	12/07/2012	09/19/2013	Fully Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 13 011 Questionable Handling of RSO Examination and Non-issuance of License To Applicant by NRC Region II Staff	12/20/2012	03/23/2016	Unsubstantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 13 012 Questions Regarding Selection Process For Team Leader Position in NRR	12/20/2012	02/20/2013	Unsubstantiated	Full Investigation				
C 13 013 Potential Abuse Pertaining to NRC Training Program	12/21/2012	05/14/2013	Unsubstantiated	Full Investigation				
C 13 014 Alleged Lack of Thorough Investigation into Retaliation Complaint by NRC OI	01/04/2013	07/11/2013	Unsubstantiated	Full Investigation				
C 13 015 Questionable Need for an NRO VTC Contract	01/04/2013	05/13/2014	Unsubstantiated	Full Investigation				
C 13 016 Travel and Time and Attendance Fraud by DOE Employee	01/07/2013	06/30/2015	Fully Substantiated	Full Investigation				
C 13 017 Whistleblower Retaliation by NRC Management	01/10/2013	09/13/2013	Unsubstantiated	Full Investigation				
C 13 018 Alleged T&A Fraud By NRR Employee	01/23/2013	07/10/2014	Partially Substantiated	Full Investigation				
C 13 019 NSIR Staff Oversight of Force on Force Exercises at Two Exelon Nuclear Power Plants	02/01/2013	12/30/2013	Unsubstantiated	Full Investigation				
C 13 020 Misuse of Government Citibank Travel Credit Card by Region I Employee	02/13/2013	03/10/2014	Fully Substantiated	Full Investigation				
C 13 021 Misuse of Government Citibank Travel Card by Region II Employee	02/13/2013	03/12/2014	Fully Substantiated	Full Investigation				
C 13 022 Alleged Wrongful Destruction of Former Chairman Gregory Jaczko's Official NRC Records	02/13/2013	06/30/2015	Unsubstantiated	Full Investigation				
C 13 023 Inappropriate Investigation Process by Washington State Department of Radiation Protection	03/12/2013	07/22/2013	N/A	Preliminary Investigation				
C 13 025 Inappropriate Behavior of An RES Manager	03/19/2013	06/06/2013	N/A	Preliminary Investigation				
C 13 026 Misuse of Travel Funds In Connection To Questionable Training	03/20/2013	05/08/2013	N/A	Preliminary Investigation				
C 13 027 Special Project: NRC Regulatory Oversight	04/01/2013	07/11/2017	N/A	Proactive Initiative				
C 13 028 Alleged Time and Attendance Fraud By NRC Region II Employee	04/10/2013	12/30/2013	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 13 029 Harassment and Intimidation of a Former Contract Guard by NRC Project Manager	04/12/2013	03/25/2014	Partially Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 13 030 Potential Inappropriate Relationship Between NRO Supervisor and NRO Staff Member	04/17/2013	08/08/2013	N/A	Preliminary Investigation				
C 13 031 Region IV Handling of Reported Concerns by Palo Verde Employee	04/30/2013	07/19/2013	Unsubstantiated	Full Investigation				
C 13 032 Potential Employment Negotiations By NRC Chairma with NRC Licensee	05/07/2013	06/06/2013	N/A	Preliminary Investigation				
C 13 033 Retaliation For Reporting T&A Abuse By NRC Employee	05/09/2013	07/18/2014	Administratively Closed	Full Investigation				
C 13 034 Alleged Improper Communication with Contractor	05/10/2013	06/27/2014	Unsubstantiated	Full Investigation				
C 13 035 Questions Concerning A 2004 OIG Investigation Pertaining to A Harassment Complaint	05/21/2013	12/30/2013	Unsubstantiated	Full Investigation				
C 13 037 Potential Conflict of Interest Transactions by NRC Commissioner Pertaining to NRC Licensee	05/24/2013	06/25/2014	Unsubstantiated	Full Investigation				
C 13 038 NRC Employees Targeted for Logon Credential Harvesting [CAT I]	06/12/2013	05/12/2014	Fully Substantiated	Full Investigation				
C 13 039 Questionable Hiring Practices By NSIR Management	06/24/2013	01/29/2015	Unsubstantiated	Full Investigation				
C 13 040 NRC Employee Allegedly Audio Recorded a Portion of NRC Meeting Without Consent of Meeting Attendees	06/25/2013	12/30/2013	Fully Substantiated	Full Investigation				
C 13 041 Alleged Forgery of NRC Document By NRR Employee	06/25/2013	03/13/2014	Fully Substantiated	Full Investigation				
C 13 042 Lack of Rigorous Oversight By the NRC Concerning Plant Problems at Fort Calhoun Station	06/27/2013	09/30/2015	N/A	Proactive Initiative				
C 13 043 Instances of Waste of Taxpayer Funds	06/21/2013	09/30/2013	Unsubstantiated	Full Investigation				
C 13 044 Misuse of Citibank Government Travel Card by Region I Employee	07/01/2013	09/30/2013	Fully Substantiated	Full Investigation				
C 13 045 Possible Release of Internal NRC Documents to the Public by an NRC Employee	07/11/2013	03/07/2014	Unsubstantiated	Full Investigation				
C 13 046 Inappropriate Behavior and Communication By An NRC Senior Manager	07/19/2013	09/19/2013	Fully Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 13 047 Inappropriate Transmittal of OUO Information by NRC Manager Official Via Government Computer	08/02/2013	03/07/2014	Fully Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 13 048 NRC's Handling of Pressure Boundary Leakage at the Palisades Nuclear Plant	08/02/2013	12/11/2014	Unsubstantiated	Full Investigation				
C 13 049 Harassment of NRC Employee	08/08/2013	02/10/2014	Fully Substantiated	Full Investigation				
C 13 050 Misuse of Government Property By NRC Office of Administration Employee	08/08/2013	01/07/2014	Unsubstantiated	Full Investigation				
C 13 051 Inappropriate Influence on the NRC's Merit Selection Process By NMSS Manager	08/14/2013	04/02/2015	Unsubstantiated	Full Investigation				
C 13 052 Region IV Employee Alleged Pressure to Downplay Inspection Findings and Retaliation Causing a Chilled Work Environment	08/20/2013	04/02/2015	Unsubstantiated	Full Investigation				
C 13 053 Misuse of Government Computer to View Sexually Explicit Images	08/28/2013	02/03/2014	Fully Substantiated	Full Investigation				
C 13 054 Mishandling of PII Information	09/04/2013	01/09/2014	Administratively Closed	Full Investigation				
C 13 055 Interference By NRC Officials Into an Investigation Conducted By the Office of Investigation	09/09/2013	03/13/2015	Unsubstantiated	Full Investigation				
C 13 056 Preselection For NRC Vacancy Announcement By NRO Manager	09/17/2013	04/21/2014	Fully Substantiated	Full Investigation				
C 13 057 Inappropriate Conduct By NRO Manager	09/18/2013	08/28/2014	Unsubstantiated	Full Investigation				
C 13 058 Misuse of the Government Travel Credit Card	09/23/2013	12/30/2013	Fully Substantiated	Full Investigation				
C 13 059 Questions Pertaining To Adherence To A Relocation Service Obligation Agreement By Region III NRC Employee	09/27/2013	06/25/2014	Unsubstantiated	Full Investigation				
C 13 060 Alleged Time & Attendance Abuse By OCHOCO Employee	09/30/2013	12/06/2013	N/A	Preliminary Investigation				
C 14 001 Improper Destruction of Classified Documents Pursuant to FOIA Request	10/03/2013	12/30/2013	Unsubstantiated	Full Investigation				
C 14 002 Falsification of Performance Appraisal System Summary Rating	10/03/2013	05/29/2014	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 14 003 ALLEGED MISCONDUCT BY THE OFFICE OF INVESTIGATIONS (b)(7)(C)	10/15/2013	05/23/2014	Unsubstantiated	Full Investigation	(b)(7)(C), (b)(7)(E)			
C 14 004 NRR Managers Provided Inaccurate Information Pertaining to ROP to Commission	10/15/2013	01/13/2014	Unsubstantiated	Full Investigation				
C 14 005 Questionable Receipt of Federal Express Packages At NRC Headquarters By Contractor Employee	10/29/2013	03/28/2014	Fully Substantiated	Full Investigation				
C 14 006 Alleged False Statements to NRC Staff Pertaining to Potential Prohibited Personnel Practice	11/01/2013	04/14/2014	Fully Substantiated	Full Investigation				
C 14 007 NRC Staff Allegedly Provided Pre-decisional Information to USB Investment Research	11/04/2013	07/06/2015	Unsubstantiated	Full Investigation				
C 14 008 Misuse of the Government Travel Credit Card by an NSIR Employee	10/08/2013	03/11/2014	Fully Substantiated	Full Investigation				
C 14 009 Distribution of Radioactive Material without NRC Materials License	11/05/2013	07/10/2014	Fully Substantiated	Full Investigation				
C 14 010 Misuse of a Government Travel Credit Card by NRO Employee	11/19/2013	01/29/2014	Fully Substantiated	Full Investigation				
C 14 011 NRC Management Directed Staff Not to Issue Request for Information Pertaining to Financial Assurance For Operation Costs	11/22/2013	09/22/2016	Unsubstantiated	Full Investigation				
C 14 012 Proactive Initiative: Review of NRC's Handling of Security Inspection Program	12/09/2013	12/20/2016	N/A	Proactive Initiative				
C 14 013 NRC's Improper Handling of its Contractor's Concerns of the Design of the AP 1000 Shield Building	12/26/2013	03/12/2014	N/A	Preliminary Investigation				
C 14 014 Harassment by (b)(7)(C)	01/13/2014	05/29/2014	Unsubstantiated	Full Investigation				
C 14 015 NRC Chairman Violated Ex-Parte Communications During Meeting With Stakeholders Involving Seabrook Nuclear Power Plant	01/27/2014	05/15/2014	N/A	Preliminary Investigation				
C 14 016 Misuse of Government Time and Resources By CFO Employees	01/29/2014	07/20/2017	Fully Substantiated	Full Investigation				
C 14 017 Release of Safeguard or Classified Information	01/31/2014	07/10/2014	Unsubstantiated	Full Investigation				
C 14 018 Flawed Section 106 process regarding Dewey Burdock project	03/03/2014	09/23/2015	Partially Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 14 019 Theft of NRC Blackberries by Dell Subcontractor	03/14/2014	10/29/2014	Fully Substantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 14 020 Time and Attendance Fraud by Office of Nuclear Regulatory Research Employee	03/25/2014	07/18/2014	Unsubstantiated	Full Investigation				
C 14 021 Task Force Investigation Concerning Criminal Activity of an NRC Employee	03/26/2014	12/08/2014	Fully Substantiated	Full Investigation				
C 14 023 Credential Harvesting Phishing E-Mail Affecting NRC Senior Managers [CAT1]	05/01/2014	05/19/2016	Partially Substantiated	Full Investigation				
C 14 024 Misuse of a Government Travel Credit Card by CFO Employee	05/05/2014	12/09/2014	Fully Substantiated	Full Investigation				
C 14 025 GPO OIG Request for (b) (7) (E) Assistance	05/05/2014	08/30/2015	Partially Substantiated	Full Investigation				
C 14 026 (b) (7) (E) Investigative Assistance to NRC OI RIV	05/22/2014	11/26/2014	Fully Substantiated	Full Investigation				
C 14 027 Concerns Pertaining to NRC OI Investigation Involving Pressurizer Safety Valve Leak at Port Lucie Nuclear Power Plant	06/11/2014	12/11/2015	Unsubstantiated	Full Investigation				
C 14 028 Potential Employment Conflict of Interest by NRC Commissioner	06/20/2014	09/15/2014	Unsubstantiated	Full Investigation				
C 14 029 Potential Misapplication of Relocation Bonuses By NRC Region II	06/20/2014	06/30/2015	Unsubstantiated	Full Investigation				
C 14 030 Alleged Failure to Report Foreign Travel By NRC Employee	07/21/2014	10/23/2014	Unsubstantiated	Full Investigation				
C 14 031 Potential Conflict of Interest Involving ACRS Member	08/19/2014	06/30/2015	Fully Substantiated	Full Investigation				
C 14 032 Potential Conflict of Interest Pertaining to FSME Staff Participation On International Commission on Radiological Protection (ICRP)	08/20/2014	04/07/2015	Unsubstantiated	Full Investigation				
C 14 034 Potential Procurement Fraud Committed by an NRC Contractor	10/09/2014	07/01/2016	Unsubstantiated	Full Investigation				
C 14 035 Waste of Federal Resources Pertaining to Dell IT Contract By NRC Region II	10/27/2014	08/03/2015	Unsubstantiated	Full Investigation				
C 15 002 Theft of Government-Leased Computer Laptop	10/10/2014	11/19/2014	Administratively Closed	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 15 003 Unusual Bank Transactions Pertaining to an NRC Employee	10/14/2014	05/15/2015	Unsubstantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 15 004 Time and Attendance Abuse by NSIR Employee and False Certifications of the Employee's Time and Attendance Records by NSIR Supervisor	10/22/2014	07/13/2015	Partially Substantiated	Full Investigation				
C 15 005 Potential NRC Staff Failure to Follow Federal Acquisition Regulation	10/27/2014	12/16/2016	Unsubstantiated	Full Investigation				
C 15 006 Potential Interference with a FOIA Request by (b)(7)(C) Public Affairs	10/28/2014	09/25/2015	Unsubstantiated	Full Investigation				
C 15 007 NRC Staff Inappropriately Withheld Documents Pursuant to FOIA Process	11/13/2014	10/22/2015	Partially Substantiated	Full Investigation				
C 15 008 Inappropriate Conduct and Retaliation By an NSIR (b)(7)(C)	11/13/2014	09/15/2015	Partially Substantiated	Full Investigation				
C 15 009 Concerns Pertaining to Oversight Responsibility By an NRC Manager Over an EEO Complaint	11/26/2014	04/01/2015	N/A	Preliminary Investigation				
C 15 011 Concerns Pertaining to Cyber Security Information Provided to Licensees By NSIR Contractor	01/09/2015	08/03/2015	Unsubstantiated	Full Investigation				
C 15 012 Improper Hiring Practices By NMSS	01/26/2015	03/13/2015	N/A	Preliminary Investigation				
C 15 013 NRC Oversight of St. Lucie Nuclear Power Plant Main Steam Isolation Valves During Component Bases Inspection Process	01/27/2015	07/21/2017	Unsubstantiated	Event Inquiry				
C 15 014 Credential Harvesting Attempt Via Spear Phishing E-mail Allegedly From California Public Utilities Commission	01/30/2015	03/22/2016	Partially Substantiated	Full Investigation				
C 15 015 Request for NRC Personnel Security and Local Law Enforcement Assistance Regarding Off-Duty Criminal Misconduct By an NRC Employee	01/30/2015	07/13/2015	Partially Substantiated	Full Investigation				
C 15 016 Alleged Off-Duty Criminal Misconduct and T&A Abuse by NRC Employee	01/30/2015	07/15/2015	Administratively Closed	Full Investigation				
C 15 017 Alleged Misconduct and Inappropriate Release of Information Relating to 2014 Allegation Review Boards (ARB) on Exelon Decommissioning Funding	02/06/2015	12/17/2015	Unsubstantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 15 018 Suspected Time and Attendance Abuse of OCFO Employee	02/18/2015	04/02/2015	N/A	Preliminary Investigation	(b)(7)(C),(b)(7)(E)			
C 15 019 NRC Region II Inspector Allegedly Conducting a Private Business During Official Duty Hours	02/23/2015	11/08/2016	Fully Substantiated	Full Investigation				
C 15 020 OIG (b) (7) (F) Support for NRC OI RII	03/03/2015	06/30/2015	N/A	Preliminary Investigation				
C 15 021 Potential Improper Storage of Official NRC Electronic Documents	03/19/2015	09/30/2015	Fully Substantiated	Full Investigation				
C 15 022 Concerns Pertaining to Promotion Selection Process By NRC Region II Management	03/31/2015	02/04/2016	Unsubstantiated	Full Investigation				
C 15 023 Misuse of Government Travel Card by NRC Manager	04/01/2015	08/30/2015	Partially Substantiated	Full Investigation				
C 15 024 False Information Provided to OI During its H&I Investigation of Licensee	04/23/2015	03/01/2016	Unsubstantiated	Full Investigation				
C 15 025 Concerns Pertaining to Potential Improper Access to RES G-Drive by a Foreign National Contractor Employee	05/18/2015	09/25/2015	Partially Substantiated	Full Investigation				
C 15 026 Concerns Regarding NRC Management Oversight Pertaining to Potential Inspection Findings at VC Summer Plant	05/19/2015	08/03/2016	Unsubstantiated	Full Investigation				
C 15 027 Potential Harassment of NRC Employee Based on a Personal Conduct Issue	05/20/2015	12/28/2015	Fully Substantiated	Full Investigation				
C 15 028 Concerns Pertaining to New Gas Transmission Line at the Indian Point Nuclear Power Plant	05/22/2015	01/10/2017	Fully Substantiated	Full Investigation				
C 15 029 Failure to Identify Potential Problems With a Safety Relief Valve During a Component Design Inspection at Pilgrim Nuclear Power Plant	06/05/2015	07/21/2017	Unsubstantiated	Full Investigation				
C 15 030 Alleged Circumvention of ARB Process by OIP Staff	06/22/2015	03/02/2016	Partially Substantiated	Full Investigation				
C 15 031 Intrusion Attempts into Resources Connected to NRC Public Web Site [CAT5]	06/22/2015	05/19/2016	Fully Substantiated	Full Investigation				
C 15 033 Potential Contract Fraud by ADM Contractor	07/02/2015	03/25/2016	Unsubstantiated	Full Investigation				
C 15 035 Concerns Pertaining to an NRC Senior Reactor Systems Engineer's Telework Agreement	07/06/2015	07/31/2015	N/A	Preliminary Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 15 037 Potential Retaliation or Hostile Work Environment Created by Senior NRC Manager	07/15/2015	03/30/2016	Partially Substantiated	Full Investigation	(b)(7)(C), (b)(7)(E)			
C 15 038 Alleged Misconduct Pertaining to a Senior Information Technology Security Officer	07/24/2015	12/21/2016	Partially Substantiated	Full Investigation				
C 15 039 Joint Sensitive Investigation with the FBI Baltimore Field Office	07/29/2015	01/05/2017	Administratively Closed	Full Investigation				
C 15 040 Concerns Pertaining to NRC Computer Security Office and FISMA Audit	09/16/2015	06/29/2016	Unsubstantiated	Full Investigation				
C 15 041 Failure to Perform NRC Inspection Requirements By Region II	09/17/2015	09/30/2016	Unsubstantiated	Full Investigation				
C 15 042 Potential Abuse of Transit Subsidy Program	09/30/2015	03/25/2016	Partially Substantiated	Full Investigation				
C 16 001 Release of Predecisional Documents in NRC Public ADAMS	10/13/2015	01/06/2017	Partially Substantiated	Full Investigation				
C 16 002 Concerns Pertaining to Slander by the NRC	10/15/2015	05/04/2016	Unsubstantiated	Full Investigation				
C 16 003 Potential Misuse of Government Position by NSIR Staff	10/27/2015	01/03/2017	Partially Substantiated	Full Investigation				
C 16 004 Potential Misuse of Government Position by NSIR Staff	10/28/2015	08/03/2016	Partially Substantiated	Full Investigation				
C 16 006 False Claim of Small Business Entity Status By Jaca & Sierra Testing Laboratories	11/19/2015	02/26/2016	Unsubstantiated	Full Investigation				
C 16 007 False Claim of Small Business Entity Status By Hospital del Maestro	11/19/2015	06/23/2016	Fully Substantiated	Full Investigation				
C 16 008 False Claim of Small Business Entity Status By Constructora Santiago	11/19/2015	06/23/2016	Fully Substantiated	Full Investigation				
C 16 009 False Claim of Small Business Entity Status By Centro Cardiovascular de Puerto Rico y del Caribe	11/19/2015	06/23/2016	Fully Substantiated	Full Investigation				
C 16 010 Concerns Pertaining to Health Care NRC Contract	11/25/2015	03/30/2016	Unsubstantiated	Full Investigation				
C 16 011 Alleged Intentional Withholding of Information from Former NRC Chairman by NRC Staff	11/25/2015	02/24/2016	Unsubstantiated	Full Investigation				
C 16 012 Concerns Pertaining to NRC Staff Handling of Regulatory Issue Summary	12/11/2015	03/30/2016	N/A	Preliminary Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 16 013 Misuse of Funds Pertaining to an Interagency Agreement Between NRC and Oakridge National Laboratory	01/07/2016	05/03/2016	N/A	Preliminary Investigation	(b)(7)(C),(b)(7)(E)			
C 16 014 Concerns Pertaining to NRC Staff Handlling of Fukushima Related FOIA Requests	01/15/2016	06/30/2016	Unsubstantiated	Full Investigation				
C 16 015 Alleged Pre-Selection by NRC Region II Management	02/12/2016	11/08/2016	Unsubstantiated	Full Investigation				
C 16 017 Alleged Failure to Follow Removal of Access Authorization Procedures by (b)(7)(C) Pertaining to an NRC Region II Inspector	02/29/2016	05/26/2016	N/A	Preliminary Investigation				
C 16 018 Alleged Retaliation by Region IV DRP (b)(7)(C)	03/03/2016	08/31/2017	Unsubstantiated	Full Investigation				
C 16 020 Unauthorized Possession and Destruction of a Government Computer Assigned to a Region I Employee	03/17/2016	07/29/2016	Fully Substantiated	Full Investigation				
C 16 022 Concerns Pertaining to Being Placed in a Leave Without Pay Status By NRC	04/06/2016	05/26/2016	N/A	Preliminary Investigation				
C 16 025 False Information Provided by an NRC Employee Pertaining to a Security Related Background Investigation	04/11/2016	12/20/2016	Fully Substantiated	Full Investigation				
C 16 028 Alleged Alteration of an NRC (b)(7)(C) Security Force Notice	04/19/2016	04/19/2016	N/A	Preliminary Investigation				
C 16 029 Alleged Inappropriate Relocation Incentives Received by a Region II Employee	04/25/2016	09/11/2017	Partially Substantiated	Full Investigation				
C 16 030 Failure to Implement Revelant Inspection Procedures by NRC Region IV Manager	04/25/2016	09/15/2017	Unsubstantiated	Full Investigation				
C 16 031 Misuse of Information Technology System By NRC Employee	05/04/2016	03/07/2017	Fully Substantiated	Full Investigation				
C 16 034 Alleged Excessive Labor Hours Charged to Review of License Amendment Request	06/15/2016	09/01/2016	N/A	Preliminary Investigation				
C 16 036 Failure To Protect PII in NRC FAIMIS	06/28/2016	06/13/2017	Fully Substantiated	Full Investigation				
C 16 037 PII Spill by OIG Contractor Employee	07/01/2016	09/28/2016	Fully Substantiated	Preliminary Investigation				
C 16 039 Alleged Conflict of Interest by (b)(7)(C) Japan Lessons Learned Directorate	08/03/2016	01/10/2017	Partially Substantiated	Full Investigation				

Cases Closed Between
10/01/2006 and 09/30/2017

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 16 041 Concerns Pertaining to an NRC Region IV Office of Investigations Staff Member	08/16/2016	04/19/2017	Unsubstantiated	Full Investigation	(b)(7)(C),(b)(7)(E)			
C 16 042 Alleged Harrassment by NRC NMSS (b)(7)(C) (b)(7)(C)	08/17/2016	11/30/2016	N/A	Preliminary Investigation				
C 16 043 Concerns Pertaining to NRC's Oversight of Hunters Point	08/31/2016	03/06/2017	Unsubstantiated	Full Investigation				
C 16 045 Inappropriate Communications Involving NRC Region IV Staff Pertaining to Reactor Operator Licensing Examination Process	09/29/2016	07/26/2017	Unsubstantiated	Full Investigation				
C 16 046 Mismanagement By NRC Managers in the Center of Expertise for Vendor Inspection	09/30/2016	08/30/2017	Unsubstantiated	Full Investigation				
C 17 002 Leak of SUNSI Information by a Former NRC Employee	10/31/2016	05/02/2017	Fully Substantiated	Full Investigation				
C 17 003 Concerns Pertaining to Reasonable Work Accommodations	10/31/2016	11/30/2016	N/A	Preliminary Investigation				
C 17 004 Possible Conflict of Interest Involving an NRC Manager	11/02/2016	09/28/2017	Administratively Closed	Full Investigation				
C 17 007 Missing NRC Computer Laptops Assigned to NSIR Staff	11/16/2016	07/25/2017	Partially Substantiated	Full Investigation				
C 17 009 Misuse of a Government Computer	01/10/2017	07/26/2017	Fully Substantiated	Full Investigation				
C 17 010 Misuse of a Government Computer	01/10/2017	08/01/2017	Fully Substantiated	Full Investigation				
C 17 019 Concerns Pertaining to NRC Enforcement of Confirmatory Order Involving Entergy	03/30/2017	05/01/2017	N/A	Preliminary Investigation				
C 17 021 Alleged Hostile Work Environment by NMSS Manager	04/07/2017	05/25/2017	N/A	Preliminary Investigation				
C 17 024 Alleged Interference by NRC Manager to Issue A Regulatory Issue Summary	05/26/2017	08/02/2017	N/A	Preliminary Investigation				
C 17 026 Harassment of Public Citizen By NRC Employee	05/26/2017	09/13/2017	N/A	Preliminary Investigation				
C 17 027 Potential Failure to Enforce Work Hours Requirement Under NRC Fitness for Duty Programs at the Turkey Point Nuclear Plant	06/01/2017	06/26/2017	N/A	Preliminary Investigation				

**Cases Closed Between
10/01/2006 and 09/30/2017**

<u>Case # & Title</u>	<u>Opened</u>	<u>Closed</u>	<u>Finding</u>	<u>Case Type</u>	<u>Disposition</u>	<u>Team</u>	<u>Case Agent</u>	<u>Days to Close</u>
C 17 030 Untimely Determination by the NRC of its Jurisdiction Over a Reported Concern	06/23/2017	08/02/2017	N/A	Preliminary Investigation	(b)(7)(C),(b)(7)(E)			
C 17 031 Alleged Acceptance of a Gift or Gratuity By NRC Employee	07/06/2017	09/26/2017	N/A	Preliminary Investigation				
C 17 038 Concerns Pertaining to Possible Waste-Abuse of Training and Travel Funds by (b)(7)(C) Management Staff	08/10/2017	09/26/2017	N/A	Preliminary Investigation				

Number of Cases Closed

548

**Cases Closed Between
10/01/2006 and 09/30/2017**

Cases Closed by Case Type and Quarter

	1st Q	2nd Q	3rd Q	4th Q	Total
Event Inquiry	2	5	4	8	19
Full Investigation	85	119	107	135	446
Preliminary Investigation	4	5	18	18	45
Proactive Initiative	7	4	5	20	36
Project	1			1	2
Total	99	133	134	182	548

Cases Closed by Disposition and Finding

	N/A		Administratively Closed		Fully Substantiated		Partially Substantiated		Unsubstantiated		Total	
Closed to File	65	100%	69	99%	38	27%	39	59%	198	97%	409	75%
Referred Externally			1	1%	7	5%	3	5%	1	0%	12	2%
Referred to NRC Management					98	69%	24	36%	5	2%	127	23%
Total	65	100%	70	100%	143	100%	66	100%	204	100%	548	100%

**Cases Closed Between
10/01/2006 and 09/30/2017**

Number of Cases Closed and Average Days to Close by Team, Case Agent and FY Quarter

(b) (7) (C), (b) (7) (E)

**Cases Closed Between
10/01/2006 and 09/30/2017**

(b) (7)(C), (b) (7)(E)

**Cases Closed Between
10/01/2006 and 09/30/2017**

(b)(7)(C),(b)(7)(E)